

EVMS-MM LEVEL: 1 PERFORMED	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 1.1) SURVEILLANCE MANAGEMENT	Key Practice COMMITMENT
Goal The CMO has identified an EVMS Monitor.	

Yes	No	Question
		1.1.1 Has the CMO Commander formally appointed an EVMS Monitor?

EVMS-MM LEVEL: 1 PERFORMED	One Book Reference Chapter Part 3B
Key Process Area (KPA 1.1) SURVEILLANCE MANAGEMENT	Key Practice ACTIVITY
Goal The CMO has identified an EVMS Monitor.	

Yes	No	Question
		1.1.2 Has the EVMS Monitor appointment letter been sent to the District EVMS Process Champion?

EVMS-MM LEVEL: 1 PERFORMED	One Book Reference Chapter 2.2. (Apr 00) Part 3; 4F5
Key Process Area (KPA 1.2) SYSTEM SURVEILLANCE	Key Practice ACTIVITY
Goal The CMO is performing a minimal level of EVM system surveillance activities.	

Yes	No	Question
		1.2.1 Is the EVMS Monitor aware of supplier's EVMS acceptance status and have they provided current information in the Contractor Systems Status Table (CSST)? 1.2.2 Is a basic level of system surveillance being performed on a periodic basis?

EVMS-MM LEVEL: 1 PERFORMED	One Book Reference Chapter 2.2. (Apr 00) Part 4F1; 4F2
Key Process Area (KPA 1.3) PROGRAM ANALYSIS AND REPORTING	Key Practice ACTIVITY
Goal The CMO is performing at least a minimal level of program analysis.	

Yes	No	Question
		1.3.1 Has the EVMS Monitor initiated contact with the Program Office? 1.3.2 Is a basic level of program analysis being performed on a periodic basis?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 4F3
Key Process Area (KPA 2.1) SURVEILLANCE PLANNING	Key Practice COMMITMENT
Goal EVMS risk handling plan (surveillance plan) is documented and encompasses all aspects of the system and program surveillance as defined in the One Book.	

Yes	No	Question
		2.1.1 Does the CMO have an EVMS risk handling plan that defines roles and responsibilities for EVM system surveillance activities? 2.1.2 Does the CMO have an EVMS risk handling plan that defines roles and responsibilities for EVMS program analysis activities?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 3B; 5
Key Process Area (KPA 2.1) SURVEILLANCE PLANNING	Key Practice ABILITY
Goal EVMS risk handling plan (surveillance plan) is documented and encompasses all aspects of the system and program surveillance as defined in the One Book.	

Yes	No	Question
		2.1.3 Has the EVMS Monitor attended any available EVMS training or, are EVMS training needs identified in Individual Development Plans and the DLA training application database with the appropriate priority? 2.1.4 Are resources available to perform surveillance planning activities?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 4F3
Key Process Area (KPA 2.1) SURVEILLANCE PLANNING	Key Practice ACTIVITY
Goal EVMS risk handling plan (surveillance plan) is documented and encompasses all aspects of the system and program surveillance as defined in the One Book.	

Yes	No	Question
		<p>2.1.5 Does the developed EVMS risk handling plan pertaining to system surveillance include, but is not limited to:</p> <ul style="list-style-type: none"> a. CMO objectives for EVMS activities which include goals and methods for implementing EVM system surveillance? b. EVMS surveillance methods and techniques? c. Roles and responsibilities of CMO personnel involved? d. References to relative DoD, DCMA or CMO policy for EVMS? (EVMS Guidelines, EVMIG, DoD 5000.2R, etc.) e. A schedule that ensures implementation and compliance by the supplier with EVMS guidelines and contract requirements? f. Reliable and timely cost, schedule, and technical performance measurement information? g. Baseline integrity maintained? h. Supplier point of contact with EVM system responsibilities? <p>2.1.6 Is the Risk Handling Plan reviewed and/or updated at least annually?</p>

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 4F1; 4F5
Key Process Area (KPA 2.1) SURVEILLANCE PLANNING	Key Practice ACTIVITY
Goal EVMS risk handling plan (surveillance plan) is documented and encompasses all aspects of the system and program surveillance as defined in the One Book.	

YES	NO	Question
		2.1.7 Does the PST review the contract to ensure that EVMS implementation and reporting requirements are appropriate and include, but not limited to: a. Verifying that the EVMS CDRLs are adequate? (CPR, C/SSR, CFSR, CCDR) b. Verifying that appropriate provisions for government access to data are documented in the contract? (CPR, X12 EDI format, online access, etc.) c. Documenting deficiencies on DD Form 1716 and attempt to resolve the deficiencies with the buying command/Program Office? d. Reviewing the supplier's system description, CWBS, and SOW as applicable and other documents that provide insight to the contract?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 4F3; 4f4
Key Process Area (KPA 2.1) SURVEILLANCE PLANNING	Key Practice ACTIVITY
Goal EVMS risk handling plan (surveillance plan) is documented and encompasses all aspects of the system and program surveillance as defined in the One Book.	

Yes	No	Question
		2.1.8 Do CMO personnel ensure that the EVMS input to the MOA/delegation is current, avoids duplication, accurately describes the level of support to be provided to the Program Manager, and coordinated with all stakeholders? 2.1.9 Does the PST develop an EVMS risk handling plan pertaining to program surveillance which includes, but is not limited to: a. Key performance risk elements? b. Terms and conditions of the MOA/delegation? c. Supplier points of contact with EVMS program analysis responsibilities?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 2.1) SURVEILLANCE PLANNING	Key Practice VERIFICATION
Goal EVMS risk handling plan (surveillance plan) is documented and encompasses all aspects of the system and program surveillance as defined in the One Book.	

Yes	No	Question
		2.1.10 Does the CMO management ensure system and program aspects are included in the Risk Handling Plan?
		2.1.11 Does the CMO management ensure proper contract review has been accomplished and EVMS customer requirements are included in the MOAs/delegations?

EVMS-MM LEVEL: 2 REPEATABLE		One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 2.2) SURVEILLANCE MANAGEMENT		Key Practice COMMITMENT
Goals 1. The EVMS Monitor maintains supplier system acceptance documents and review history. 2. Management enables accomplishment of the EVMS objectives.		
Yes	No	Question
		2.2.1 Are EVMS activities tracked and evaluated against plans?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 3B; 5
Key Process Area (KPA 2.2) SURVEILLANCE MANAGEMENT	Key Practice ABILITY
Goals 1. The EVMS Monitor maintains supplier system acceptance documents and review history. 2. Management enables accomplishment of the EVMS objectives.	

Yes	No	Question
		2.2.2 Has the EVMS Monitor received formal introductory EVMS training? BCF 102 or approved equivalent.
		2.2.3 Are resources available for performing EVMS Surveillance Management activities?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 4F5
Key Process Area (KPA 2.2) SURVEILLANCE MANAGEMENT	Key Practice ACTIVITY
Goals <ol style="list-style-type: none"> 1. The EVMS Monitor maintains supplier system acceptance documents and review history. 2. Management enables accomplishment of the EVMS objectives. 	

Yes	No	Question
		<p>2.2.4 Does the CMO collect and maintain a master file of system acceptance and subsequent review documents?</p> <p>a. System surveillance file include, but are not limited to: System and program review results, evaluations, discrepancies, follow-up actions, customer correspondence, meeting minutes.</p> <p>b. Maintain program files until completion and forward for inclusion in the official contract file.</p> <p>c. System files maintained indefinitely.</p> <p>2.2.5 Are key processes identified and classified as high, moderate or low risk?</p> <p>a. Items to consider are performance history, process effectiveness, efficiency, and sophistication.</p> <p>b. Supporting rationale will be documented for each key process rating.</p>

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 2.2) SURVEILLANCE MANAGEMENT	Key Practice MEASUREMENT
Goals <ol style="list-style-type: none"> 1. The EVMS Monitor maintains supplier system acceptance documents and review history. 2. Management enables accomplishment of the EVMS objectives. 	

Yes	No	Question
		2.2.6 Does the CMO measure planned surveillance activities versus actual activities?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 2.2) SURVEILLANCE MANAGEMENT	Key Practice VERIFICATION
Goals <ol style="list-style-type: none"> 1. The EVMS Monitor maintains supplier system acceptance documents and review history. 2. Management enables accomplishment of the EVMS objectives. 	

Yes	No	Question
		2.2.7 Does CMO management review Surveillance Management activities on a periodic basis?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 4F2; 4F3
Key Process Area (KPA 2.3) SYSTEM SURVEILLANCE	Key Practice COMMITMENT
Goals 1. Ensure supplier's system continues to meet the EVMS guidelines. 2. Ensure data generated by the supplier's EVM system is valid.	

Yes	No	Question
		2.3.1 Are responsibilities for System Surveillance activities clearly designated?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 2.3) SYSTEM SURVEILLANCE	Key Practice ABILITY
Goals 1. Ensure supplier's system continues to meet the EVMS guidelines. 2. Ensure data generated by the supplier's EVM system is valid.	

Yes	No	Question
		2.3.2 Reserved.
		2.3.3 Are resources available for performing EVM System Surveillance activities?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 3; 4F2; 4F3; 4F4
Key Process Area (KPA 2.3) SYSTEM SURVEILLANCE	Key Practice ACTIVITY
Goals 1. Ensure supplier's system continues to meet the EVMS guidelines. 2. Ensure data generated by the supplier's EVM system is valid.	

Yes	No	Question
		2.3.4 Does the EVMS Monitor review the supplier's EVM system description, policies, and procedures to ensure compliance to the EVMS guidelines? 2.3.5 Does the EVMS Monitor perform supplier system surveillance in accordance with the documented EVMS Risk Handling Plan? 2.3.6 Does the EVMS Monitor assess and report the status of the supplier's EVM system to stakeholders on at least an annual basis? 2.3.7 Are any non-compliance issues found by the EVMS Monitor during system surveillance documented per the procedures (PROCAS)? 2.3.8 Are withdrawal procedures of an accepted EVM system understood?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 2.3) SYSTEM SURVEILLANCE	Key Practice MEASUREMENT
Goals <ol style="list-style-type: none"> 1. Ensure supplier's system continues to meet the EVMS guidelines. 2. Ensure data generated by the supplier's EVM system is valid. 	

Yes	No	Question
		2.3.9 Have the number of outstanding (unresolved) CARs been tracked and reported to management?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 2.3) SYSTEM SURVEILLANCE	Key Practice VERIFICATION
Goals <ol style="list-style-type: none"> 1. Ensure supplier's system continues to meet the EVMS guidelines. 2. Ensure data generated by the supplier's EVM system is valid. 	

Yes	No	Question
		2.3.10 Does CMO management review system surveillance activities on a periodic basis?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 4F2; 4F3
Key Process Area (KPA 2.4) PROGRAM ANALYSIS AND REPORTING	Key Practice COMMITMENT
Goal Program Analysis and Reporting activities are conducted in accordance with MOA/delegations and EVMS issues are communicated to the Program Office in a timely manner.	

Yes	No	Question
		2.4.1 Are responsibilities for Program Analysis and Reporting activities clearly designated?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 3B; 5
Key Process Area (KPA 2.4) PROGRAM ANALYSIS AND REPORTING	Key Practice ABILITY
Goal Program Analysis and Reporting activities are conducted in accordance with MOA/delegations and EVMS issues are communicated to the Program Office in a timely manner.	

Yes	No	Question
		2.4.2 Have personnel responsible for Program Analysis and Reporting attended informal introductory EVMS training?
		2.4.3 Are resources available to perform Program Analysis and Reporting activities?
		2.4.4 Are personnel at least minimally proficient in the use of software tools to perform Program Analysis and Reporting?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 4F1; 4F2; 4F3; 4F5
Key Process Area (KPA 2.4) PROGRAM ANALYSIS AND REPORTING	Key Practice ACTIVITY
Goal Program Analysis and Reporting activities are conducted in accordance with MOA/delegations and EVMS issues are communicated to the Program Office in a timely manner.	

Yes	No	Question
		<p>2.4.5 Have personnel responsible for Program Analysis and Reporting become familiar with the program by attending meetings and reviewing program documentation? Examples include IBRs, PMRs, formal baseline reviews, CAM interviews, IPT meetings, risk management meetings, program control meetings, internal CMO management reviews, sub-tier supplier data, etc.</p> <p>2.4.6 Are responsible personnel performing Program Analysis and Reporting in accordance with the documented EVMS risk handling plan, including C/SSR programs, and assessed the program and documented and reported to customers on a periodic basis?</p> <p>2.4.7 Has the PST entered the EVMS data into wInsight for analysis and submitted to the District Process Champion?</p> <p>2.4.8 Does the program analysis include an Independent Estimate at Completion (IEAC) and an explanation of differences in CMO/supplier EAC greater than 5%?</p> <p>2.4.9 Has a request been made to the supplier for on-line access to EVMS data?</p>

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 2.4) PROGRAM ANALYSIS AND REPORTING	Key Practice MEASUREMENT
Goal Program Analysis and Reporting activities are conducted in accordance with MOA/delegations and EVMS issues are communicated to the Program Office in a timely manner.	

Yes	No	Question
		2.4.10 Does the CMO track the number of program analysis reports that are delivered in accordance with the MOA/delegation?

EVMS-MM LEVEL: 2 REPEATABLE	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 2.4) PROGRAM ANALYSIS AND REPORTING	Key Practice VERIFICATION
Goal Program Analysis and Reporting activities are conducted in accordance with MOA/delegations and EVMS issues are communicated to the Program Office in a timely manner.	

Yes	No	Question
		2.4.11 Are program analysis reports reviewed by CMO management on a periodic basis?

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 4F3
Key Process Area (KPA 3.1) SYSTEM SURVEILLANCE	Key Practice COMMITMENT
Goal CMO pursues a joint surveillance agreement with the supplier.	

Yes	No	Question
		3.1.1 Are responsibilities for joint system surveillance activities clearly designated?

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 3B; 5
Key Process Area (KPA 3.1) SYSTEM SURVEILLANCE	Key Practice ABILITY
Goal CMO pursues a joint surveillance agreement with the supplier.	

Yes	No	Question
		3.1.2 Has the EVMS Monitor attended the Intermediate EVMS Course (BCF 203)?
		3.1.3 Are adequate resources available to perform all Level 3 EVM System Surveillance activities?

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 4F1; 4F3; 4F4; 4F5
Key Process Area (KPA 3.1) SYSTEM SURVEILLANCE	Key Practice ACTIVITY
Goal CMO pursues a joint surveillance agreement with the supplier.	

Yes	No	Question
		<p>3.1.4 Has the EVMS Monitor pursued a joint surveillance agreement through the Management Council structure?</p> <p>3.1.5 Does the EVMS Monitor review proposed changes to the supplier's EVM system description, policies and/or procedures to ensure compliance with EVMS guidelines and in turn, make recommendations to the ACO and has the EVMS Monitor coordinated with all customers prior to recommending the ACO grant the Pre-Approval Waiver?</p> <p>3.1.6 If the supplier's EVMS has been accepted by the DoD, has the CMO pursued/established a formal system acceptance agreement (i.e., Tri-Service validation letter or Advance Agreement) with the supplier?</p> <p>If the supplier's EVM system has NOT been accepted, has the CMO contacted DCMA HQ to coordinate a systems acceptance and/or special review?</p> <p>3.1.7 Are results of System Surveillance evaluated, compared across programs to identify systemic issues, and reported to stakeholders?</p> <p>3.1.8 Does the CMO seek opportunities to be involved in early CAS activities?</p>

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 3.1) SYSTEM SURVEILLANCE	Key Practice MEASUREMENT
Goal CMO pursues a joint surveillance agreement with the supplier.	

Yes	No	Question
		3.1.9 Does the CMO track the status of System Surveillance action items?

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 3.1) SYSTEM SURVEILLANCE	Key Practice VERIFICATION
Goal CMO pursues a joint surveillance agreement with the supplier.	

Yes	No	Question
		3.1.10 Are System Surveillance activities reviewed by the CMO management on a periodic basis?

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 3.2) PROGRAM ANALYSIS AND REPORTING	Key Practice COMMITMENT
Goals 1. Program analysis is insightful and adds value to program management efforts. 2. Program analysis is predictive in nature and identification of variances and causal factors are communicated to customers.	

Yes	No	Question
		3.2.1 Are responsibilities for Program Analysis and Reporting clearly designated?

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 3B; 5
Key Process Area (KPA 3.2) PROGRAM ANALYSIS AND REPORTING	Key Practice ABILITY
Goals 1. Program analysis is insightful and adds value to program management efforts. 2. Program analysis is predictive in nature and identification of variances and causal factors are communicated to customers.	

Yes	No	Question
		3.2.2 Have the PIs responsible for Program Analysis and Reporting attended the Intermediate EVMS Course (BCF 203)? 3.2.3 Are adequate resources available to perform all Level 3 Program Analysis and Reporting activities? 3.2.4 Are personnel proficient in the use of software tools to perform Program Analysis and Reporting?

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 4F2; 4F3; 4F4
Key Process Area (KPA 3.2) PROGRAM ANALYSIS AND REPORTING	Key Practice ACTIVITY
Goals 1. Program analysis is insightful and adds value to program management efforts. 2. Program analysis is predictive in nature and identification of variances and causal factors are communicated to customers.	

Yes	No	Question
		<p>3.2.5 Do program analysis reports include insightful information? Examples include, but are not limited to:</p> <ul style="list-style-type: none"> a. Periodic reconciliation of CPR data to supplier internal records (to include DCAA audits, if applicable) and other CDRLs. b. Evaluation of variance analysis and proposed corrective action and performance index trends (i.e., SPI, CPI, TCPI). c. Identification of technical performance issues below the CDRL reporting WBS level. d. Horizontal and vertical schedule analysis. e. Analysis of schedule task interdependencies and critical path items. f. Performance Measurement Baseline integrity and changes. g. Uses of Management Reserve and Undistributed Budget. h. Providing up-to-date information in the reporting. i. Analysis of sub-tier supplier data <p>3.2.6 Does program analysis include an Independent Estimate at Completion with supporting rationale and methodologies?</p> <p>3.2.7 Does the PST verify that supplier's management personnel are using the EVMS to identify problems, develop solutions, and implement corrective action.</p>

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 4F2
Key Process Area (KPA 3.2) PROGRAM ANALYSIS AND REPORTING	Key Practice MEASUREMENT
Goals 1. Program analysis is insightful and adds value to program management efforts. 2. Program analysis is predictive in nature and identification of variances and causal factors are communicated to customers.	

Yes	No	Question
		3.2.8 Does the CMO solicit customer feedback?

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 3.2) PROGRAM ANALYSIS AND REPORTING	Key Practice VERIFICATION
Goals 1. Program analysis is insightful and adds value to program management efforts. 2. Program analysis is predictive in nature and identification of variances and causal factors are communicated to customers.	

Yes	No	Question
		3.2.9 Does CMO management review customer feedback on a periodic basis?

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 3.3) RISK MANAGEMENT	Key Practice COMMITMENT
Goals Risk Management is an integral part of a proactive CMO EVMS process.	

Yes	No	Question
		3.3.1 Is responsibility for Risk Management activities designated?

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 3B; 5
Key Process Area (KPA 3.3) RISK MANAGEMENT	Key Practice ABILITY
Goals Risk Management is an integral part of a proactive CMO EVMS process.	

Yes	No	Question
		3.3.2 Have the personnel responsible for performing Risk Management activities received risk management training?
		3.3.3 Are adequate resources available for performing all risk management activities?

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 4F1; 4F2
Key Process Area (KPA 3.3) RISK MANAGEMENT	Key Practice ACTIVITY
Goals Risk Management is an integral part of a proactive CMO EVMS process.	

Yes	No	Question
		<p>3.3.4 Are Risk Planning activities performed? Examples include, but are not limited to:</p> <ul style="list-style-type: none"> a. Contract and contract modifications are reviewed. b. MOA/delegations are reviewed. c. Supplier EVM System description(s), procedures, and policies are reviewed. d. CMO personnel are engaged with PMOs to assess program risk. <p>3.3.5 Are Risk Assessment activities performed? Examples include, but are not limited to:</p> <ul style="list-style-type: none"> a. Historical system and program surveillance findings and trends are reviewed (including cost, schedule, and technical performance analysis). b. Risk ratings are based on the probability of occurrence and consequence of failure, and are identified as High, Moderate, or Low. c. Sub-tier suppliers are considered when making risk assessments.

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 4F3; 4F4; 4F5
Key Process Area (KPA 3.3) RISK MANAGEMENT	Key Practice ACTIVITY
Goals Risk Management is an integral part of a proactive CMO EVMS process.	

Yes	No	Question
		<p>3.3.6 Are Risk Handling activities performed? Examples include, but are not limited to:</p> <ul style="list-style-type: none"> a. Methods identified to mitigate risk. (i.e., PROCAS, process proofing, system evaluations, CDRL product audits, data analysis, statistical sampling, root cause analysis, etc.) b. Risk handling plans are in place and include the intensity, schedule, and frequency of the risk handling methods chosen. <p>3.3.7 Are Risk Monitoring activities performed? Examples include, but are not limited to:</p> <ul style="list-style-type: none"> a. Track and evaluate the supplier performance relating to EV systems and key processes addressed in the risk handling plan. b. Adjust risk handling methods, intensity, and frequency based on the performance of supplier systems and key processes. c. Data analysis results shall be used to update risk assessments and the risk handling plan. <p>3.3.8 Are all Risk Management activities documented?</p>

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 3.3) RISK MANAGEMENT	Key Practice MEASUREMENT
Goals Risk Management is an integral part of a proactive CMO EVMS process.	

Yes	No	Question
		3.3.9 Are risk ratings tracked and based on periodic risk assessments?

EVMS-MM LEVEL: 3 DEFINED	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 3.3) RISK MANAGEMENT	Key Practice VERIFICATION
Goals Risk Management is an integral part of a proactive CMO EVMS process.	

Yes	No	Question
		3.3.10 Are EVMS risk management activities reviewed by CMO management on a periodic basis?

EVMS-MM LEVEL: 4 QUANTITATIVE	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 4.1) QUANTITATIVE EVMS	Key Practice COMMITMENT
Goals 1. CMO internal metrics are used to track progress against system surveillance plan activities. 2. CMO internal metrics are used to track the quality of program analysis reports.	

Yes	No	Question
		4.1.1 Is CMO management committed to using metrics to standardize EVMS activities?

EVMS-MM LEVEL: 4 QUANTITATIVE	One Book Reference Chapter 2.2. (Apr 00) Part 3B; 5
Key Process Area (KPA 4.1) QUANTITATIVE EVMS	Key Practice ABILITY
Goals 1. CMO internal metrics are used to track progress against system surveillance plan activities. 2. CMO internal metrics are used to track the quality of program analysis reports.	

Yes	No	Question
		4.1.2 Has CMO management attended training in EVMS processes and techniques?
		4.1.3 Are adequate resources available to perform all of the activities associated with Level 4?

EVMS-MM LEVEL: 4 QUANTITATIVE	One Book Reference Chapter 2.2. (Apr 00) Part 4F2; 4F3; 4F4
Key Process Area (KPA 4.1) QUANTITATIVE EVMS	Key Practice ACTIVITY
Goals 1. CMO internal metrics are used to track progress against system surveillance plan activities. 2. CMO internal metrics are used to track the quality of program analysis reports.	

Yes	No	Question
		4.1.4 Are internal metrics for system surveillance activities defined?
		4.1.5 Are internal metrics for the quality of program analysis reports defined?
		4.1.6 Are internal metrics for system surveillance activities tracked and briefed to management on a periodic basis?
		4.1.7 Are internal metrics for quality of program analysis reports tracked and briefed to management on a periodic basis?
		4.1.8 Does CMO management use internal metrics to guide decision-making and to improve the EVMS processes?

EVMS-MM LEVEL: 4 QUANTITATIVE	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 4.1) QUANTITATIVE EVMS	Key Practice MEASUREMENT
Goals 1. CMO internal metrics are used to track progress against system surveillance plan activities. 2. CMO internal metrics are used to track the quality of program analysis reports.	

Yes	No	Question
		4.1.9 Are measurements taken and used to determine the effectiveness of EVMS activities?

EVMS-MM LEVEL: 4 QUANTITATIVE	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 4.1) QUANTITATIVE EVMS	Key Practice VERIFICATION
Goals 1. CMO internal metrics are used to track progress against system surveillance plan activities. 2. CMO internal metrics are used to track the quality of program analysis reports.	

Yes	No	Question
		4.1.10 Does CMO management review the effectiveness of the internal metrics?

EVMS-MM LEVEL: 5 OPTIMIZED	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 5.1) CONTINUOUS PROCESS IMPROVEMENT	Key Practice COMMITMENT
Goals <ol style="list-style-type: none"> 1. Participation in Continuous Earned Value Process Improvement activities is CMO wide. 2. The CMO continually improves the effectiveness of EVMS processes by adoption and implementation of new techniques and methods. 	

Yes	No	Question
		5.1.1 Does the CMO actively sponsor EVMS process improvement activities? 5.1.2 Are new EVMS techniques and methods continuously considered for inclusion in the standard CMO process?

EVMS-MM LEVEL: 5 OPTIMIZED	One Book Reference Chapter 2.2. (Apr 00) Part 3B; 5
Key Process Area (KPA 5.1) CONTINUOUS PROCESS IMPROVEMENT	Key Practice ABILITY
Goals 1. Participation in Continuous Earned Value Process Improvement activities is CMO wide. 2. The CMO continually improves the effectiveness of EVMS processes by adoption and implementation of new techniques and methods.	

Yes	No	Question
		5.1.3 Does the CMO facilitate attendance at annual EVMS conferences? Examples include, but are not limited to, : DCMA Conferences PMI Conference SCEA Conference 5.1.4 Are adequate resources provided for continuous EVMS process improvement activities? 5.1.5 Does the CMO use locally developed tools to improve the EVMS process?

EVMS-MM LEVEL: 5 OPTIMIZED	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 5.1) CONTINUOUS PROCESS IMPROVEMENT	Key Practice ACTIVITY
Goals 1. Participation in Continuous Earned Value Process Improvement activities is CMO wide. 2. The CMO continually improves the effectiveness of EVMS processes by adoption and implementation of new techniques and methods.	

Yes	No	Question
		5.1.6 Is the standard EVMS process assessed on a periodic basis? 5.1.7 Are EVMS process improvement ideas transferred into practice? 5.1.8 Is information about the initiation, status, and implementation of EVMS process improvement activities maintained? 5.1.9 Are improved methods and techniques incorporated into the standard EVMS process?

EVMS-MM LEVEL: 5 Optimized	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 5.1) CONTINUOUS PROCESS IMPROVEMENT	Key Practice MEASUREMENT
Goals 1. Participation in Continuous Earned Value Process Improvement activities is CMO wide. 2. The CMO continually improves the effectiveness of EVMS processes by adoption and implementation of new techniques and methods.	

Yes	No	Question
		5.1.10 Are measurements made and used to determine the status of the EVMS Continuous Process Improvement activities?

EVMS-MM LEVEL: 5 OPTIMIZED	One Book Reference Chapter 2.2. (Apr 00) Part 3B
Key Process Area (KPA 5.1) CONTINUOUS PROCESS IMPROVEMENT	Key Practice VERIFICATION
Goals 1. Participation in continuous EV process improvement activities is CMO wide. 2. The CMO continually improves the effectiveness of EVMS processes by adoption and implementation of new techniques and methods.	

Yes	No	Question
		5.1.11 Does CMO management review EVMS process improvement activities?